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1 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2 Inventorship..... Rehof et al.  
3 Applicant ..... Microsoft Corporation  
4 Attorney's Docket No. ..... MS1-663US  
Title: System and Method Providing Automatic Policy Enforcement in a Multi-Computer Service  
Application

5 TRANSMITTAL LETTER AND CERTIFICATE OF MAILING

6 To: Commissioner of Patents and Trademarks,  
7 Washington, D.C. 20231

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11 The following enumerated items accompany this transmittal letter and are being submitted for the  
matter identified in the above caption.

- 12 1. Specification-title page, plus 44 pages, including 29 claims and Abstract  
13 2. Transmittal letter including Certificate of Express Mailing  
3. 11 Sheets Formal Drawings (Figs. 1-12)  
4. Return Post Card

14 Large Entity Status [x]

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15 Date: 10-24-2000

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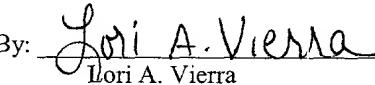
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION FOR LETTERS PATENT

**System and Method Providing Automatic Policy  
Enforcement in a Multi-Computer Service Application**

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ATTORNEY'S DOCKET NO. MS1-663US

1      **TECHNICAL FIELD**

2      This invention relates to distributed computer systems, such as server data  
3      centers or Websites. More particularly, this invention pertains to a way to  
4      automatically enforce a policy in a multi-computer service application.

5

6      **BACKGROUND**

7      It is no secret that Internet usage has exploded over the past few years and  
8      continues to grow rapidly. People have become very comfortable with many  
9      services offered on the World Wide Web (or simply “Web”), such as electronic  
10     mail, online shopping, gathering news and information, listening to music,  
11     viewing video clips, looking for jobs, and so forth. To keep pace with the growing  
12     demand for Internet-based services, there has been tremendous growth in the  
13     computer systems dedicated to hosting Websites, providing backend services for  
14     those sites, and storing data associated with the sites.

15     One type of distributed computer system is an Internet data center (IDC),  
16     which is a specifically designed complex that houses many computers for hosting  
17     Internet-based services. IDCs, which also go by the names “Webfarms” and  
18     “server farms”, typically house hundreds to thousands of computers in climate-  
19     controlled, physically secure buildings. These computers are interconnected to run  
20     one or more programs supporting one or more Internet services or Websites. IDCs  
21     provide reliable Internet access, reliable power supplies, and a secure operating  
22     environment.

23     Fig. 1 shows an Internet data center 100. It has many server computers 102  
24     arranged in a specially constructed room. The computers are general-purpose  
25     computers, typically configured as servers. An Internet data center may be

1 constructed to house a single site for a single entity (e.g., a data center for Yahoo!  
2 or MSN), or to accommodate multiple sites for multiple entities (e.g., an Exodus  
3 center that host sites for multiple companies).

4 The IDC 100 is illustrated with three entities that share the computer  
5 resources: entity A, entity B, and entity C. These entities represent various  
6 companies that want a presence on the Web. The IDC 100 has a pool of additional  
7 computers 104 that may be used by the entities at times of heavy traffic. For  
8 example, an entity engaged in online retailing may experience significantly more  
9 demand during the Christmas season. The additional computers give the IDC  
10 flexibility to meet this demand.

11 While there are often many computers, the Internet service or Website may  
12 only run a few programs. For instance, one Website may have 2000-3000  
13 computers that run only 10-20 pieces of software. Computers can be added daily  
14 to provide scalability as the Website receives increasingly more visitors, but the  
15 underlying programs change less frequently. Rather, there are simply more  
16 computers running the same software in parallel to accommodate the increased  
17 volume of visitors.

18 Managing the physical resources of an Internet service is difficult today.  
19 Decisions such as when to add (or remove) computers to carry out functionality of  
20 the Internet service are made by human operators. Often, these decisions are made  
21 based on the operators' experience in running the Internet service. Unfortunately,  
22 with the rapid growth of services, there is a shortage of qualified operators who  
23 can make real-time decisions affecting the operation of a Website. Accordingly, it  
24 would be beneficial if some of the managerial, or policy aspects of running a  
25 Internet service could be automated.

1 Today, there is no conventional way to automate policy aspects of running  
2 an Internet service in a way that abstracts the functionality of the policy from the  
3 underlying physical deployment of the application. Perhaps this is because the  
4 industry has grown so fast that everyone's focus has been simply to keep up with  
5 the exploding demand by adding computers to Website applications. Not much  
6 thought has gone into how to model a policy mechanism that is scale-invariant.

7 At best, most distributed applications rely on human intervention and/or  
8 manual control for: (a) installing application components, (b) configuring the  
9 components, (c) monitoring the health of the overall application and individual  
10 components, and (d) taking reactive measures to maintain good overall application  
11 and component health. Where these tasks are automated, they operate in context  
12 of isolated physical components, without a big picture of how the components of  
13 the application relate to one another.

14 The downside with such traditional procedures to implementing policy is  
15 that Website operators must maintain constant vigilance over the operation of the  
16 application. Upon detecting a change in the application's operation that is contrary  
17 to the overall health of the application, Website operators are typically required to  
18 consult one or more documents that essentially detail the actions the Web  
19 Operators should take upon the occurrence of the condition. Moreover, such  
20 documents must continually be updated as the Website grows in physical resources  
21 and/or as the policy changes. Accordingly, it would be beneficial if some of the  
22 managerial, or policy aspects of running an Internet service could be automated.

1      **SUMMARY**

2      Described herein is a system and procedure to automatically enforce policy  
3      in distributed multi-computer service applications. Such service applications  
4      include multiple software programs that execute on multiple computers. The  
5      computers have access to communications media that allow data communications  
6      between different ones of the computers.

7      Multi-computer service applications are designed and implemented using a  
8      modeling system and a deployment system. The modeling system permits  
9      developers to architect the hardware and software used to implement the  
10     applications in an abstract manner. The modeling system defines a set of  
11     components used to describe the functionality of an application in a logical, scale-  
12     independent manner. In the described implementation, the modeling system  
13     defines several model components: a module, a port, and a wire; and a set of  
14     model extensions including, but not limited to: a store, an event source, an event  
15     sink, and an event path.

16     The module is the basic functional unit and represents a container of  
17     behavior that may be implemented by one or more computers running one or more  
18     software programs. For instance, in the context of a Website, one module might  
19     represent a front end that renders HTML pages, another module might represent a  
20     login database, and another module might represent a mailbox program. A port is  
21     a service access point for the module. All communications into and out of the  
22     module goes through a port. A wire is the logical binding that defines an allowed  
23     communication route between two ports.

1       The model components are arranged and interconnected to form a scale-  
2 independent model of the application. Each component specifies some  
3 functionality of the application.

4       Once a logical model is created, the deployment system uses the logical  
5 model to automatically deploy various computer/software resources to implement  
6 the application. The deployment system converts each of the model components  
7 into one or more instances that correspond to physical resources. As one example,  
8 the resources correspond to computer nodes of a distributed computer system that  
9 are loaded with specific types of software to implement the function represented  
10 by the model components. The deployment system initially installs the application  
11 and then dynamically and automatically modifies the resources used to implement  
12 the application in an ongoing basis as the operating parameters of the application  
13 change.

14       Each deployed module includes logical ports that are configured according  
15 to the logical model of the multi-computer service application. Each logical port  
16 is defined by port software. Logical data connections between the logical output  
17 and input ports are deployed according to the logical model. Each port is  
18 configured to communicate through different numbers of logical data connections  
19 without modifying the port software.

20       A deployed module, upon the occurrence of a condition, sends a  
21 notification to a policy module. The policy module responds to the notification by  
22 formulating a request for one or more destination modules according to a policy.  
23 The policy module implements one or more policies devised by the developer or  
24 operator of the service application. The policy module provides the request to an

1 output port of the policy module. The output port forwards the request to input  
2 ports of multiple modules according to the configured logical data connections.

3 Upon instantiation, or deployment, the output ports are configured to  
4 specify different logical data connections. Output ports can also be configured  
5 during run-time to specify different logical data connections. In either case, the  
6 output ports forward notifications to multiple modules and input ports in  
7 accordance with the logical connections specified for said particular output port.

8 In one implementation, the policy module monitors the operation of the  
9 multi-service computer application during runtime. The monitored operations are  
10 evaluated against a policy. The policy module determines the number of instances  
11 of each module used to implement the multi-computer service at any given time  
12 based on the policy.

13 The policy module responds to changes in operating conditions by  
14 automatically specifying an action selected from a group of actions. Such actions  
15 include (a) deploying a new resource represented by a model component in the  
16 logical model, (b) manipulating a module in multi-service computer application by  
17 sending events to the module, and (c) removing a module from the multi-service  
18 computer application.

1      **BRIEF DESCRIPTION OF THE DRAWINGS**

2      Fig. 1 illustrates a conventional Internet data center (IDC).

3      Fig. 2 illustrates a set of model components that form the building blocks  
4      for modeling an application, along with the associated schema.

5      Fig. 3 illustrates a database application for an IDC that is modeled in terms  
6      of the components.

7      Fig. 4 illustrates an Internet-based email application for an IDC.

8      Fig. 5 is a block diagram of a computer that may be used to implement the  
9      modeling software for modeling the IDC.

10     Fig. 6 is a flow diagram of a process for modeling an IDC.

11     Fig. 7 is a block diagram of a deployment system that converts a logical  
12    model to a fully functioning physical implementation

13     Fig. 8 illustrates a translation of the logical model into real-world instances.

14     Fig. 9 illustrates exemplary data records of an instance database used to  
15    store the real-world instances.

16     Fig. 10 is a flow diagram of a process for deploying resources for the  
17    application based on the logical model.

18     Fig. 11 illustrates a system providing automatic policy enforcement in a  
19    multi-computer application.

20     Fig. 12 illustrates a procedure that provides automatic policy enforcement  
21    in a multi-computer application.

1      **DETAILED DESCRIPTION**

2      A system and procedure to automatically enforce policy in a multi-  
3      computer service application includes a modeling system and a deployment  
4      system. The modeling system permits developers of applications for distributed  
5      computer systems (e.g., server data centers, Internet data centers (IDCs), Web  
6      farms, and the like) to architect the hardware and software in an abstract manner.  
7      The modeling system defines a set of components used to describe the  
8      functionality of an application in a logical, scale-independent manner. An  
9      “application” within this context refers to an entire service hosted on the  
10     distributed computer system.

11     For instance, an Internet data center may host a Website for an online  
12     retailer, where the application entails the entire software and hardware  
13     configuration that implements the online retailer’s Internet presence. The  
14     application might include, for example, a front end to handle client requests, an  
15     order processing system, a billing system, an inventory system, a database system,  
16     and a policy module to respond to changes in the operation of the application.

17     The model components are arranged and interconnected to form a scale-  
18     independent model of the application. Each component specifies some  
19     functionality of the application. The model can then be used to construct a  
20     scalable physical blueprint in terms of which machines run which pieces of  
21     software to form the application.

22     The deployment system uses the logical model to deploy various  
23     computer/software resources in real-time as the applications need them. The  
24     deployment system converts each of the model components into one or more  
25     instances that correspond to physical resources. The deployment system tracks the

1 instances and all available resources. The deployment system decides when  
2 resources should be added (or removed) and monitors the current state of  
3 implementation. The deployment system installs the application and then  
4 dynamically and automatically modifies the resources used to implement the  
5 application in an ongoing basis as the operating parameters of the application  
6 change.

7 One of the components deployed by the deployment system is a policy  
8 module. The policy module is one of the model components in the logical model.  
9 The policy module responds to changes in the operation of the application by  
10 enforcing a policy. The policy module receives notifications from the deployed  
11 resources. In response to receiving such notifications, the policy module evaluates  
12 the notifications against a policy, which results in one or more of data, a request,  
13 or notification being sent to one or destination resources according to the  
14 configured logical data connections.

15 The system is described in the context of Website designs, such as  
16 applications for Internet data centers. However, the design system may be  
17 implemented to model other large size and scalable applications for computer  
18 systems. Accordingly, the design system can be implemented in a wide variety of  
19 ways, including Internet-based implementations and non-Internet-based  
20 implementations.

21

22 **Model Components and Schema**

23 The modeling system defines several model components that form the  
24 building blocks of a logical, scale-independent application: a module, a port, and a  
25 wire. It also defines a set of model extensions including, but not limited to: a

1 store, an event source, an event sink, and an event path. In a design tool, the  
2 components are represented pictorially as graphical elements or symbols that may  
3 be arranged and interconnected to create scale-independent models of Website  
4 applications. The graphical elements have an associated schema that dictates how  
5 the functional operations being represented by the graphical elements are to be  
6 specified.

7 Fig. 2 illustrates a set of model components 200 that a module, as  
8 represented by modules 202(A)-202(C), a store 204, ports 206, wires 208, event  
9 sources 210, event sinks 212, and event paths 214. The components 200 are  
10 arranged in a no particular manner other than to foster discussion of their  
11 individual traits.

12 A module 202 represents a basic unit of functionality for the application  
13 and is depicted as a block. It is a logical entity that represents some portion of the  
14 application as implemented at the IDC, but has no physical manifestation. The  
15 module often corresponds to a software program that handles a logical set of tasks  
16 for the application. For instance, one module might represent a front end for a  
17 Website, another module might represent a login database, and another module  
18 might represent an electronic mail program.

19 Each module 202 is a container of behavior. A simple module is atomic  
20 and has associated a unique identifier. Modules can be nested into a hierarchy of  
21 modules to form more complex behaviors. In a module hierarchy, the leaf  
22 modules are simple modules, and the non-leaf modules are compound modules.

23 Each module 202 defines a unit of scaling. While one module logically  
24 represents a functional operation of the application, the module may translate to  
25 any number of computers when actually implemented. In this way, the module is

1 scale-independent, allowing the number of underlying computers used to  
2 implement the module to change at any time. When converted to a physical  
3 implementation, “instances” are created from the modules. The module instances  
4 are assigned a unique identifier and maintain ancestral data regarding which  
5 module created them. The instances of simple modules are called “engines”,  
6 which correspond to software programs that run on individual computer nodes.

7 A store 204 is the most basic unit of storage and is depicted graphically as a  
8 disk storage icon. It represents a logical partitioning, which may be implemented  
9 by any number of physical disks or other storage media.

10 A port 206 is a service access point for a module 202 or store 204 and is  
11 depicted as spherical knobs projecting from the module or store. All service-  
12 related communications into and out of the module go through the port 206. Each  
13 port 206 has a “type”, which is a set of attributes describing format, semantics,  
14 protocol, and so forth. At runtime, the port represents a set of physical ports  
15 associated with the instantiated engines of the modules.

16 A wire 208 is the logical binding that defines a communication route  
17 between two ports 206 and is depicted as a bold line. Each wire 208 can be type-  
18 checked (i.e., protocols, roles) and defines protocol configuration constraints (e.g.,  
19 HTTP requires TCP, TCP requires IP, etc.).

20 Event sources 210 and event sinks 212 are used for discrete semantic  
21 messaging between modules and stores. An event source 210 is pictorially shown  
22 as a triangle pointing away from the module or store, while an event sink 212 is  
23 depicted as a triangle pointing toward the module or store. An event path 214 is a  
24 logical connection between sources and sinks, and carries event messages used to

1 inform modules/stores and implement policy (e.g., scaling, fail-over, monitoring,  
2 application processes, etc.). It is depicted as a dashed line.

3 Fig. 2 also illustrates the schema underlying the graphical elements as  
4 exemplary data structures associated with the model components. Module 202(A)  
5 has an associated structure 220 that contains various characteristics for the  
6 module, such as functionality, processing requirements, software, and so forth.  
7 Thus, a module for a database server function might have characteristics  
8 pertaining to the kind of database (e.g., relational), the data structure (e.g., tables,  
9 relationships), software (e.g., SQL), software version, and so forth. Modules  
10 202(B) and 202(C) have similar structures (not shown).

11 The store 204 has a corresponding structure 222 that defines the  
12 requirements for storage. The store schema structure 222 might include, for  
13 example, the kind of storage (e.g., disk), the storage format, and so on. Each port  
14 206 has a schema structure, as represented by structure 224, which dictates the  
15 port's type. Each wire 208 also is also associated with a structure, such as  
16 structure 226, which outlines the protocols implemented by the connection.  
17 Similar schema structures may also be provide for event sources, event sinks, and  
18 paths.

19 Using the model components, an application developer can logically  
20 configure scale-independent applications prior to physically laying them out in the  
21 Internet data centers. The developer drafts a model using a user interface to select  
22 and interconnect the model components. Once constructed, the modeling software  
23 generates the application based on the depicted model and the underlying schema.  
24 The application may subsequently be converted into a physical b\ueprint that

1 details the computers and software needed to implement the application for a  
2 specified number of client visitors.

3 The scale-invariant nature of the modeling system allows application  
4 developers to focus only on designing software for a specific functional task (e.g.,  
5 front end, login database, email program, etc.). All external communications can  
6 then be expressed in terms of transmitting to and receiving from one or more  
7 associated ports. In this manner, the application developers need not worry about  
8 how many machines will be used to run the module, or how other modules of the  
9 scale-independent application are being configured.

10

### 11 **Exemplary Module and Application**

12 Fig. 3 shows a fault-tolerant SQL (structure query language) database  
13 module 300 to demonstrate how the model components may be organized and  
14 connected to represent a portion of an application. In this example, the database  
15 module 300 represents a SQL database that may be used independently or as a  
16 component in a larger application. The SQL database module 300 has a module  
17 interface composed of a single port 302 that implements a TDS (Tabular Data  
18 Stream) protocol.

19 The SQL database module 300 is a compound module made up of three  
20 simple modules: a fail-over policy module 310, a primary SQL module 312, and a  
21 secondary SQL module 314. The primary and secondary SQL modules represent  
22 dual programs that operate in parallel so that, in the event that the primary module  
23 312 crashes, the secondary module 314 can assume the role without loss of  
24 service. The database module 300 also has a data store 316 that represents the  
25 memory storage for the SQL database module.

1       The primary SQL module 312 has a module interface that includes a first  
2 port 320 for communicating with the compound module port 302 and a second  
3 port 322 for communicating with the store 316. The primary SQL module 312  
4 also has an event source 324 and an event sink 326 for handling event messages  
5 from the fail-over policy module 310. Similarly, the secondary SQL module 314  
6 has a module interface with a first port 330 for communicating with the compound  
7 module port 302, a second port 332 for communicating with the store 316, and an  
8 event sink 334 for receiving events from the fail-over policy module 310. A wire  
9 336 interconnects the external compound module port 302 with the ports 320 and  
10 330 of the primary and secondary SQL modules, respectively.

11      The store 316 has a port 340 to communicate with the primary and  
12 secondary SQL modules 312 and an event sink 342 to receive event messages  
13 from the fail-over policy module 310. A wire 344 interconnects the store port 340  
14 with the ports 322 and 332 of the primary and secondary SQL modules,  
15 respectively.

16      The fail-over policy module 310 has a module interface that includes three  
17 event sources and one event sink. An event sink 350 receives a “fail” event from  
18 the event source 324 of the primary SQL module 312 via an event path 352 when  
19 the primary SQL module experiences some failure. In response to receiving a  
20 “fail” event, the fail-over policy module 310 concurrently issues a first event to  
21 stop the failed primary module 312, another event to assign the secondary module  
22 314 as the new owner of the store 316, and a third event to start the secondary  
23 module 314. The “stop” event is issued via an event source 354 over an event path  
24 356 to the event sink 326 of the primary SQL module 312. The “stop” event  
25 directs the primary SQL module 312 to halt operation.

1       The fail-over policy module 310 issues an “assign owner” (AO) event from  
2       an event source 358, over the event path 360 to the event sink 342 of the store 316.  
3       The assign owner event directs the storage mechanisms to switch to allowing  
4       access by the secondary SQL module 314, rather than the primary SQL module  
5       312. The fail-over policy module 310 also issues a “start” event from event source  
6       362 over event path 364 to the event sink 334 of the secondary module 314. The  
7       start event directs the secondary SQL module to start operation in place of the  
8       primary SQL module.

9       The SQL database module 300 illustrates how the model components—  
10      modules, stores, ports, wires, sources, sinks, and paths—may be arranged and  
11      interconnected to form a complex module. The developer specifies the  
12      characteristics associated with each component according to the prescribed  
13      schema. The complex module may in turn be added to other simple or complex  
14      modules to form other complex modules. Eventually, the largest complex module  
15      becomes the application, which may then be used to form a blueprint for  
16      constructing the data center.

17      Fig. 4 shows a simplified application 400 for an online retailer. The  
18      application 400 includes a front end module 402, a catalog module 404, an order  
19      processing module 406, and a fulfillment module 408. The application 400 also  
20      includes a customer database 410 and the fault-tolerant SQL database module 300.  
21      Notice that the SQL database module 300 is the same as that shown in Fig. 3 to  
22      illustrate how complex modules can be nested into even greater complex modules  
23      to form an application.

24      The front end module 402 handles requests from clients who wish to shop  
25      with the online retailer. The front end module 402 has a port 420 that

1 accommodates communications with external clients using the TCP/IP protocol  
2 over the Internet. The front end module 402 also has an order port 422 to define a  
3 communication exchange with the order processing module 406 and a catalog port  
4 424 for communication flow to the catalog module 404. The ports 422 and 424  
5 may be configured according to any of a variety of types, which support any one  
6 of a number of protocols including SOAP, TCP, or UDP. An event sink 426 is  
7 also provided to receive a “new product” message from the catalog module 404  
8 when a new product has been added to the catalog.

9 The catalog module 404 provides catalog information that may be served  
10 by the front end to the requesting clients. The catalog module 404 has a front end  
11 port 430 connected via a wire 432 to the catalog port 424 of the front end module  
12 402. The front end port 430 has a type that matches the catalog port 424. The  
13 catalog module 404 also has an event source 434 that for communicating the “new  
14 product” messages over path 436 to the event sink 426 of the front end module  
15 402.

16 A SQL port 438 interfaces the catalog module 404 with the SQL database  
17 module 300. The SQL port 438 has a type that utilizes the TDS protocol for the  
18 communication exchange with the external port 302 of the SQL database 300.

19 The order processing module 406 has a front end port 440 to define a  
20 communication interface with the front end module 402 via a wire 442. The order  
21 processing module 406 also has a fulfillment port 444 to facilitate communication  
22 with the fulfillment module 408 over wire 446 and a database port 448 to facilitate  
23 communication with the customer database 410 via wire 450.

24 An event source 452 is provided at the order processing module 406 to pass  
25 “order complete” events to the fulfillment module 408 via path 454. These events

1 inform the fulfillment module 408 that an order is complete and ready to be filled.  
2 A second event source 456 passes “new account” events to the customer database  
3 410 via path 458 whenever a new customer orders a product.

4 The fulfillment module 408 has an order port 460 to provide access to the  
5 wire 446 to the order processing module 406 and a database port 462 to interface  
6 with the customer database 410. The fulfillment module 408 also has an event  
7 sink 464 to receive the “order complete” events from the order processing module  
8 406.

9 The customer database 410 has an order port 470 to provide access to wire  
10 450 and a fulfillment port 472 to facilitate communication with the fulfillment  
11 module 408 via wire 474. The customer database 410 further has an event sink  
12 476 to receive the “new account” events from the order processing module 406.

13 The modeling approach illustrated in Figs 3 and 4 is tremendously  
14 beneficial because it allows developers and IDC operators to view the entire  
15 operation in terms of abstract functional pieces that are scale-independent. The  
16 online retailer application 400, for example, requires a front end unit, a catalog  
17 unit, an order processing unit, and a fulfillment unit regardless of whether the  
18 retailer is handling 100 hits a day or 10 million hits per day.

19 The scale-independent nature frees the developer to focus on his/her little  
20 piece of the application. For instance, a developer assigned the task of building  
21 the front end module 402 need only be concerned with writing software code to  
22 facilitate response/reply exchanges. Any communication to and from the module  
23 is defined in terms of order-related data being passed to the order processing  
24 module 406 via the order port 422 and product data being received from the  
25 catalog module 404 via the catalog port 424. The developer defines the data flow

1 to and from the order port 422 and the catalog port 424 according to their  
2 respective associated protocol types.

3 The application 400 can then be used to construct a computer system that  
4 hosts the online retailer. Initially, the online retailer may not receive very much  
5 traffic, especially if launched away from the Christmas season. So, perhaps the  
6 front end module 402 translates initially to only a few computers to handle the  
7 light traffic from the Internet. But, suppose that over time the site becomes more  
8 popular and the Christmas season is fast approaching. In this situation, the online  
9 retailer may authorize the IDC operator to add many more computers for the front  
10 end tasks. These computers are equipped the software and configured to accept  
11 HTTP requests for product information and to serve Web pages containing the  
12 product information. The computers are added (or removed) as needed, without  
13 altering the basic application 400.

14

15 **Computer-Based Modeling System and Method**

16 Fig. 5 shows an exemplary computer system 500 that implements modeling  
17 software used to design Website applications. The modeling computer may be  
18 implemented as one of the nodes in a Website, or as a separate computer not  
19 included as one of the nodes. The modeling computer has a processor 502,  
20 volatile memory 504 (e.g., RAM), and non-volatile memory 506 (e.g., ROM,  
21 Flash, hard disk, optical, RAID memory, etc.). The modeling computer 500 runs  
22 an operating system 510 and modeling system 512.

23 For purposes of illustration, operating system 510 and modeling system 512  
24 are illustrated as discrete blocks stored in the non-volatile memory 506, although it  
25 is recognized that such programs and components reside at various times in

1 different storage components of the computer 500 and are executed by the  
2 processor 502. Generally, these software components are stored in non-volatile  
3 memory 506 and from there, are loaded at least partially into the volatile main  
4 memory 504 for execution on the processor 502.

5 The modeling system 512 includes a user interface 514 (e.g., a graphical  
6 UI) that presents the pictorial icons of the model components 516 (e.g., modules,  
7 ports, sources, sinks, etc.), a component schema database 518, and a logical-to-  
8 physical converter 520. The modeling system 512 allows a developer to design an  
9 application for an IDC by defining modules, ports, and event message schemes.  
10 The user interface 514 presents symbols of the components 516, such as the  
11 symbols shown in Figs 2-4, and permits the developer to arrange and interconnect  
12 them. The UI 514 may even support conventional UI techniques as drag-and-drop  
13 operations.

14 The symbols depicted on the screen represent an underlying schema 518  
15 that is used to define the model. For instance, a block-like module symbol is  
16 associated with the characteristics of the functionality that the module is to  
17 represent in the application. Thus, the developer may define a database module  
18 that has characteristics pertaining to the kind of database (e.g., relational), the data  
19 structure (e.g., tables, relationships), software (e.g., SQL), software version, and so  
20 forth. Accordingly, by drafting the model on the UI, the developer is architecting  
21 the entire schema that will be used to design the scale-independent application.

22 Once the application is created, the logical-to-physical converter 520  
23 converts the application to a physical blueprint that details the number of  
24 computers, software components, physical ports, and so forth. The converter takes  
25 various parameters—such as how many site visitors are expected, memory

1 requirements, bandwidth requirements, processing capabilities, and the like—and  
2 scales the application according to the schema 518 represented by the application.  
3 The converter 520 specifies the number of computers needed to implement each  
4 module, the number of disks to accommodate the stores, and the types of  
5 communications protocols among the modules and stores.

6 In this manner, the modeling system changes the development effort from a  
7 node-centric approach to architecting IDCs to an application-centric approach.  
8 Within conventional node-centric methodology, the focus was on the computers  
9 and how they were laid out. The application was then loaded onto the nodes in a  
10 somewhat ad hoc manner. With the new application-centric approach, the focus is  
11 initially on the application itself. The physical nodes used to implement the  
12 application are derived once the application is specified.

13 Fig. 6 shows a method for modeling a scale-independent application for an  
14 Internet data center. The method 600 may be implemented, for example, by the  
15 modeling system 512 executing on the modeling computer 500. In such an  
16 implementation, the method is implemented in software that, when executed on  
17 computer 500, performs the operations illustrated as blocks in Fig. 6.

18 At block 602, the modeling system 512 allows the developer to define the  
19 modules and stores that form the functional elements of the applications. The UI  
20 514 enables the developer to create modules and stores and to define their  
21 characteristics as prescribed by a predetermined schema. This entry process  
22 begins to construct the logical building blocks of the application.

23 At block 604, the modeling system 512 enables the developer to define the  
24 ports for the modules and stores. The developer selects the type of ports and  
25 ensures compatibility of ports that are connected to one another. At block 606, the

1 developer also defines any events that may be passed among modules and stores.  
2 The developer creates event sources and event sinks to accommodate the various  
3 events. At block 608, the developer uses the modeling system 512 to interconnect  
4 the ports with wires and the event sources/sinks with event paths. By joining the  
5 various modules and stores, the developer effectively forms a logical  
6 representation of the application.

7 At block 610, the modeling system 512 generates an application using the  
8 graphical representation constructed by the developer. The modeling system 512  
9 generates the logical specifications associated with the graphical model, including  
10 the characteristics of the modules and stores as well as the types of the ports and  
11 event sources/sinks. The application provides a complete logical representation of  
12 the service that will eventually be implemented at the Internet data center. The  
13 application may be stored on disk or some other form of computer-readable  
14 medium (block 612).

15 At block 614, the modeling system 512 converts the application to a  
16 physical blueprint that specifies the computers, the software run by each of the  
17 computers, and the interconnections among the computers. This physical  
18 blueprint may be used by the operator to install and manage the service afforded  
19 by the application.

20

### 21 **Computer-Based Deployment System**

22 Once a logical model is created, an automatic computer-based deployment  
23 system uses the logical model to deploy various computer/software resources to  
24 implement the application. The deployment system converts each of the model  
25 components into one or more instances that correspond to physical resources, such

1 as nodes of a distributed computer system that are loaded with specific types of  
2 software to implement the function represented by the model components. The  
3 deployment system initially installs an application on the physical resources  
4 according to the logical model. It then dynamically and automatically modifies  
5 the resources used to implement the application in an ongoing basis as the  
6 operating parameters of the application change.

7 Fig. 7 shows a deployment system 700 that converts the logical model to a  
8 fully functioning physical implementation. The deployment system 700 includes a  
9 policy module 702, a core runtime logical-to-physical converter 704, and  
10 hardware/software resources 706 that are all interconnected via a wireless and/or  
11 wire-based communication network 708 (e.g., a LAN, a WAN, intranet, Internet,  
12 combinations thereof, etc.). In this example, the hardware/software resources are  
13 illustrated as computer nodes of a distributed computer system, as represented by  
14 computers 706(1), 706(2), ..., 706(N). The policy module 702 and core runtime  
15 converter 704 may be implemented on one or more computers, which may or may  
16 not be part of the nodes in the distributed computer system.

17 For purposes of discussion, the deployment system 700 is described in the  
18 context of an Internet service that is executed at an Internet data center having an  
19 abundance of generic computer nodes. The nodes can be allocated to one or more  
20 Internet services from a reserve pool of nodes, as illustrated in Fig. 1.

21 The policy module 702 implements one or more policies devised by the  
22 developer or operator of the Internet service. The policies specify when instances  
23 derived from the logical model should be created, manipulated, and destroyed.  
24 The policy module monitors various events generated by the nodes and  
25 implements policy decisions regarding how to handle the events. By specifying

1 when and what instances should be created (or destroyed), the policy module 702  
2 effectively dictates when hardware/software resources 706 should be added (or  
3 removed) to support the changing demands of the Internet service.

4 The core runtime converter 704 implements the policy decisions made by  
5 the policy module 702. The runtime converter 704 has a service running state 710  
6 that tracks all instances of the model components currently in existence. That is,  
7 the service running state 710 tracks the elements in the physical world with respect  
8 to the logical model. The service running state 710 maintains a copy of the logical  
9 model, such as online retailing model 400 of Fig. 4. The logical model is created  
10 by the modeling system described above with respect to Figs. 5 and 6. The current  
11 instances are maintained in a database 714. The records in instance database 714  
12 include such information as identify of the instance, the name of the logical  
13 component from which it is derived, the node on which it is running, the network  
14 addresses representing the ports of the modules and stores, type of software loaded  
15 on the node, various protocols supported by the instance, and so forth.

16 The instances are derived from the logical model. The policy module 702  
17 articulates the number of instances of each model component used to implement  
18 the Internet service at any given time. For instance, suppose the Internet service  
19 requires one hundred computers to effectively implement a front end that handles  
20 site traffic at 99.9% efficiency with each computer running at 70% utilization.  
21 The policy module might further specify that more computers should be added if  
22 some policy threshold is met (e.g., efficiency rating drops below some threshold or  
23 computer utilization rises above some threshold) or removed if another threshold  
24 is met.

1 A resource manager 716 tracks all of the physical resources available to the  
2 Website. These resources include computer nodes, storage, software, and so forth.  
3 Records identifying all of the resources are kept in the resource database 718. For  
4 instance, there might be one record for each computer node, storage device, and  
5 software module in the Internet data center. The records contain such information  
6 the identity of the allocated nodes, computing characteristics and capabilities, the  
7 application(s) to which they are allocated, the date and time of allocation, and so  
8 forth.

9 The resource manager 716 allocates the resources as needed or requested by  
10 the Internet service according to the policy implemented by the policy module  
11 702. The allocation depends upon the availability of resources at the time of  
12 request. The resource manager 716 may also recover resources that are no longer  
13 needed by the Internet service and return the resources to the pool of available  
14 resources.

15 Upon allocation (or recovery) of a resource, the resource manager 716 post  
16 a record to the resource database 718 reflecting which resource is allocated to (or  
17 recovered from) which application. As an example, when an Internet service  
18 desires more nodes for the front end tasks, the resource manager 716 allocates one  
19 or more free nodes from the pool of resources to the Internet service.

20 Another unit, referred to as “new” 720, manages the creation of new  
21 instances in the physical world from the model components specified in the logical  
22 model 400. A loader 722 carries out the configuration of newly allocated  
23 resources to the functions dictated by the new instances. In this manner, when  
24 another instance of a component is desired, the new program 720 communicates

1 with the resource manager 716 to allocate a node from the resource pool and with  
2 the loader 722 to load the appropriate software programs onto the node.

3 Each computer node 706(1)-706(N) has a policy engine 730(1)-730(N) and  
4 a node loader 732(1)-732(N). The policy engine 730 locally implements the  
5 policies dictated by the policy module 702. Depending upon the policies, the  
6 policy engine 730 monitors various parameters and performance metrics of the  
7 local node and generates events that may be sent to inform the policy module 702  
8 as to how the node is operating within the policy framework.

9 For instance, suppose a policy specifies that nodes used to instantiate a  
10 particular module of the logical model should not operate at more than 80%  
11 utilization. The policy engine 730 enforces this policy by monitoring utilization.  
12 If utilization rises above 80%, the policy engine 730 sends an event to the policy  
13 module 702 to notify of the utilization increase. With this information, the policy  
14 module 702 may decide to create another instance of the module to relieve the  
15 over-utilized node.

16 The node loader 732 performs the actual loading tasks specified by the  
17 loader 722 in the core runtime converter 704. It is the local code on an otherwise  
18 generic node to which the runtime loader 722 may communicate when configuring  
19 the node.

20 Fig. 8 shows an example of a portion of logical model 400 being converted  
21 into actual instances. To illustrate the conversion, the front end module 402 and  
22 the order processing module 406 are extracted from the online retailer service  
23 application 400 (Fig. 4). A wire 442 interconnects the two modules by logically  
24 coupling the ports 422 and 440.

1       The front end module 402 in the logical model translates to one or more  
2 computer nodes in the physical world that runs software for handling client  
3 queries. These physical instances are represented diagrammatically by the  
4 rectangles with ovals. Here, based on a policy, the front end module 402 is  
5 converted into multiple front end instances 800(1), 800(2), 800(3), ..., 800(J),  
6 which each corresponds in one-to-one fashion with a computer node loaded with  
7 software used to implement the front end of the service. The order processing  
8 module 406 translates to one or more computer nodes that run a program for  
9 processing client orders. In Fig. 8, the order processing module 406 is converted  
10 into plural order processing instances 802(1), 802(2), ..., 802(K).

11      The ports 422 and 440 represent protocols and roles within protocols in the  
12 logical world. They translate to the physical world as a set of network addresses  
13 used to communicate with the software at the respective modules. For instance,  
14 the physical translation of a logical port might be IP Port 80, using HTTP  
15 (hypertext transport protocol). In Fig. 8, one logical port in the logical model is  
16 converted to a port address for each instance of the module. Logical port 422  
17 converts into physical address ports 804(1)-804(J) and logical port 440 converts  
18 into physical ports 806(1)-806(K). Furthermore, the logical ports might  
19 correspond to particular objects and their interfaces. For example, port A might  
20 correspond to an object A and interface on a first computer, while port B might  
21 correspond to an object B and interface on a second computer.

22      The wire 442 represents logical connections between ports. It translates to  
23 a physical mesh of all possible communication paths between the instances of each  
24 module. The number of wires is determined as the cross product of the number of  
25 instances of each module. In Fig. 8, the wire 442 converts to a physical

connection between every instance 800(1)-800(J) of the front end module 402 and every instance 802(1)-802(K) of the order processing module 406.

Fig. 9 illustrates exemplary records 900 in the instance database 714 that track the instances derived from the logical model 400. In this example, the database is a relational database that stores records in tables, and the records may be linked to one another via relationships. Here, there are three tables: a module table 902, a port table 904, and a wire table 906. Each table holds one record for a corresponding instance of the logical model. Each record has a number of fields relating to the type of information that is being tracked for each instance.

The module table 902 tracks instances of modules in the logical model. There is one record for each module instance. Thus, with respect to the front end module of Fig. 8, there are “J” records in the module table 902 corresponding to the “J” front end instances 800(1)-800(J). Each record in the module table 902 contains an instance ID to identify the individual instance, an identity of the module component from which the instance is derived, a node ID to identify the computer node to which the instance is associated, the type of software loaded on the node to implement the module functionality, a software ID, an identity of the various ports to the modules, and various protocols supported by the module instance. It is noted that other implementations may include additional fields or fewer fields than those illustrated.

The port table 904 tracks instances of the port in the logical model, such as ports 422 and 440 in Fig. 8. A record from this table includes such information as the port ID, the model component identity, a node ID, the network address represented by the port, the instance ID of the corresponding instance, the protocol

1 used by the port, and an ID of the wire to which the port is connected. Again,  
2 more or less fields may be used in other implementations.

3 The wire table 906 tracks instances of the wires in the logical model, such  
4 as wire 442 in Fig. 8. A record in the wire table includes a wire ID, a model  
5 component identity, a node ID, a port ID, an instance ID, and the protocol  
6 supported by the wire.

7 Notice that the three tables can be correlated with one another via various  
8 relationships. For example, the module table 902 and the port table 904 are related  
9 by various data fields, such as the port ID field and the instance ID field. The wire  
10 table 906 correlates with the port table 904 via wire ID and port ID and with the  
11 module table 902 via instance ID. Notice also that the tables have a node ID field  
12 that provides a reference into the resource database by identifying which node the  
13 instance is associated with.

14 It is noted that the illustrated arrangement of the database is merely for  
15 discussion purposes. Many other table arrangements with more or fewer tables  
16 than illustrated.

17

### 18 **Realtime Deployment Method**

19 Fig. 10 shows a method 1000 for deploying resources for an application  
20 based on the logical model. The method 1000 is implemented by the deployment  
21 system 700 of Fig. 7 and hence can be embodied as software that, when executed  
22 on one or more computers, performs the operations illustrated as blocks in Fig. 12.

23 At block 1002, the policy module 702 monitors various operating  
24 parameters and listens for events from the policy engines 730 at the individual  
25 nodes 706. The policy module 702 evaluates the parameters and events against

1 the policy backdrop to determine whether the current physical implementation is  
2 satisfactorily supporting the application, or whether a new instance of some  
3 component should be added (block 1004). It is noted that the continuing process  
4 is described in the context of adding a new instance. However, the policy may  
5 alternatively dictate that one or more instances should be removed. Removal of  
6 instances is somewhat easier in that instances are deleted from the instance  
7 database 714 and the computer nodes are returned to the additional pool for  
8 reallocation.

9 Assuming that a new instance is desired (i.e., the “yes” branch from block  
10 1004), the policy module 702 consults the service running state 710 to understand  
11 the current number and arrangement of instances (block 1006). The policy  
12 module 702 can request various types of information of the service running state  
13 710, such as:

- 14
- 15     How many instances of a given module?
  - 16     What nodes are associated with a given model component?
  - 17     Which nodes are attached to a given wire?
  - 18     What is the network address of a node?
  - 19     What wire is attached to a port on a given component?
  - 20     What components does a given component own?

21     Depending upon the event or operating condition, the policy module 702  
22 can request information on a particular module in the logical model 400. For  
23 example, assume that an event has been received from a front end node indicating  
24 that the utilization has risen to above 90%. In response, a policy specifying the  
25 addition of another instance at such utilization levels is triggered. The policy  
module 702 asks the service running state 710 how many instances of the front

1 end module currently exist, and information regarding how to specify an instance  
2 for the front end module.

3 At block 1008, the policy module 702 calls the program “new” 720 to  
4 create a new instance of a module in the logical model. At block 1010, the new  
5 program 720 calls the resource manager 716 to request allocation of a new node  
6 (assuming one is available). The resource manager 716 examines the resources  
7 using data from the resource database 718 and allocates a new node that is  
8 currently available from a pool of free nodes. The resource manager 716 records  
9 the allocation in the resource database 718, identifying which node is allocated,  
10 what application it is being allocated to, the date and time that it is allocated, and  
11 so forth.

12 At block 1012, the new program 720 calls the loader 722 to install software  
13 onto the allocated node and configure the node to perform the functions  
14 represented by the logical module from which the instance is created. In response,  
15 the loader 722 initializes the node by communicating with the node loader 730 of  
16 the new node via the network 708 to install an image of an operating system (e.g.,  
17 Windows NT server operating system from Microsoft Corporation). The loader  
18 722 then loads the appropriate software and configures the node to perform the  
19 functions represented by the logical model component from which the instance is  
20 derived. For example, for an instance of an SQL module in the logical model 400,  
21 the node loader 732 loads SQL server software. The loader 722 registers the  
22 physical port addresses with the service running state 710, which records the new  
23 instance in the instances database 714.

24 At block 1014, the service running state 710 is notified when the newly  
25 allocated and configured node is up and running. The service running state 710

1 records a new instance of the logical model in the instances database 714. The  
2 record reflects an ID of the instance, the name of the logical component from  
3 which it is derived, the allocated node, the network addresses of the node, software  
4 type and IDs, various protocols supported by the instance, and so on.

5 At block 1016, the policy module 702 is notified by the core runtime  
6 converter 704 that a new instance is up and running. The new instance should  
7 relieve the event or operating condition to bring operation back into compliance  
8 with the policy.

9

### Policy Enforcement Mechanism

10 Fig. 11 illustrates a system 1100 to enforce policy in a multi-computer  
11 service application having a plurality of software programs that execute on a  
12 plurality of computers. The service application includes a communications  
13 medium that allows data communications between different ones of the  
14 computers. In this example, the application is a distributed copying service, where  
15 copy modules 1102 send electronic documents to front ends 1104.

16 The application is designed and implemented using the modeling system  
17 and deployment system discussed above. The model components of the  
18 application include the logical counterparts of the policy module 1101, one or  
19 more copy modules 1102, and one or more front ends 1104. The modeling system  
20 allows the model components to be arranged and interconnected to form a scale-  
21 independent model of the application. The deployment system converts each of  
22 the model components into one or more instances that correspond to physical  
23 resources. In this example, the physical instances of the system's model  
24 components are represented diagrammatically by rectangles with ovals.  
25

1        Each module includes respective logical input ports 1106 and logical output  
2        ports 1108. The logical input ports 1106 and logical output ports 1108 are  
3        configured on different modules in accordance with a logical model 1124 of the  
4        distributed copying service. Each logical input and output port is defined by port  
5        software.

6        Logical wires or data connections are configured between the logical output  
7        and input ports in accordance with the logical model. For example, data flow  
8        arrows 1110, 1112, and 1114 represent logical data connections. Each module can  
9        include other communication paths other than those represented by the data flow  
10      arrows. Upon each module's instantiation, each respective port is configured to  
11      communicate through different numbers of logical data connections without  
12      modifying the port software.

13      The policy module 1101 implements one or more policies devised by the  
14      developer or operator of the service application. The policy module monitors  
15      various events generated by the computer nodes and implements policy decisions  
16      regarding how to handle the events. In this example, the front end module 1104  
17      represents a newly instantiated module that is ready to receive electronic data from  
18      copy modules 1102. The front end module provides a notification 1110 to output  
19      port 1108-2, which is configured according to the configured logical data  
20      connections to send the notification to the policy module's input port 1106-1. The  
21      policy module accepts the notification and in response, formulates a request for  
22      one or more destination modules. As noted above, such a request includes, data or  
23      notifications.

24      The request is provided to the output port 1108-1 of the policy module.  
25      The output port forwards the request 1112 to respective input ports 1106 of one or

1 more copy modules in accordance with the configured logical data connections.  
2 In this example, the request notifies the copy modules that they can begin sending  
3 data to the newly instantiated front end module.

4 In response to receiving the request, the respective output ports 1108-3  
5 through 1108-N are reconfigured, during runtime, according to the logical  
6 connections 1114 described in the logical model to send data to the new front end.

7 In this manner, the policy enforcement system changes distributed  
8 application management from a manual process requiring human intervention and  
9 control, to an automatic process. With conventional management procedures, a  
10 sending module would have had to have been recoded with the new addresses of  
11 any added components. With the new automatic policy enforcement, scale-  
12 invariant policies can be developed that do not require constant human  
13 intervention and control to respond to changes in application operation.

14 Fig. 12 illustrates an exemplary procedure 1200 to automate policy  
15 enforcement in a multiple-computer application. At step 1202, the procedure  
16 configures logical output ports and logical input ports on different modules  
17 according to a logical model (logical model) of the multi-computer service  
18 application. Each logical input and output port is defined by port software.

19 At step 1204, the procedure configures logical data connections between  
20 the logical output and input ports according to the logical model. At step 1206,  
21 the procedure configures each port to communicate through different numbers of  
22 logical data connections. This is done without modifying the port software.

23 At step 1208, upon occurrence of a condition, the procedure sends a  
24 notification from a particular module to a policy module. At step 1210, the policy  
25 module receives the notification. At step 1212, the policy module responds to the

1 notification by determining a request for one or more destination modules. This  
2 request is determined based on a policy developed by the designer of the  
3 application. At step 1214, the policy module provides the request to an output port  
4 of the policy module to forward the request to input ports of multiple modules  
5 according to the configured logical data connections. In this way, the response to  
6 the notification is automatically forwarded to the proper modules without a need to  
7 determine who or where those modules are.

8

9 **Conclusion**

10 Although the description above uses language that is specific to structural  
11 features and/or methodological acts, it is to be understood that the invention  
12 defined in the appended claims is not limited to the specific features or acts  
13 described. Rather, the specific features and acts are disclosed as exemplary forms  
14 of implementing the invention.

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1      **CLAIMS**

2      1. A method of enforcing policy in a multi-computer service application  
3      having a plurality of software modules that execute on a plurality of computers,  
4      the multi-computer service application further having access to a communications  
5      medium that allows data communications between different ones of the  
6      computers, the method comprising:

7            configuring logical output ports and logical input ports on different  
8      modules in accordance with a logical model of the multi-computer service  
9      application, wherein each logical input and output port is defined by port software;

10          configuring logical data connections between the logical output and input  
11     ports in accordance with the logical model;

12          configuring each port to communicate through different numbers of logical  
13     data connections without modifying the port software;

14          sending a notification from a particular module to a policy module;

15          the policy module responding to the notification by:

16            determining a request for one or more destination modules;

17            providing the request to an output port of the policy module;

18          the output port forwarding the request to input ports of a plurality of the  
19     modules in accordance with the configured logical data connections.

20  
21          2. A method as recited in claim 1, wherein a particular output port is  
22     configurable during run-time to specify different logical data connections.

1       3. A method as recited in claim 1, wherein a particular output port is  
2 configurable during instantiation to specify different logical data connections.

3

4       4. A method as recited in claim 1, wherein the logical model of the  
5 multi-computer service application comprises model components, wherein each  
6 model component represents an abstract functional operation of the multi-  
7 computer service, the model components comprising hardware and software  
8 modules.

9

10     5. A method as recited in claim 4, wherein the model components have  
11 an associated blueprint that specifies the hardware and software modules  
12 represented by the model components.

13

14     6. A method as recited in claim 1, wherein the method further  
15 comprises:

16             monitoring, by the policy module, operation of the multi-service computer  
17 application during runtime; and

18             evaluating, by the policy module, the monitored operations against a policy.

19

20     7. A method as recited in claim 6:

21             wherein evaluating further comprises determining, by the policy module, a  
22 number of instances of each module used to implement the multi-computer service  
23 at any given time based on the policy; and the method further comprising  
24 responding from the policy module to changes in operating conditions by  
25 automatically specifying an action selected from a group of actions consisting of

1 deploying a new resource represented by a model component in the logical model,  
2 manipulating a module in multi-service computer application by sending requests  
3 to the module, and removing a module from the multi-service computer  
4 application.

5

6       **8.** A method as recited in claim 7, wherein the deploying comprises  
7 creating a physical instance of the model component, the logical input and output  
8 ports on the newly deployed resource being configured in accordance with logical  
9 connections specified in the logical model.

10

11      **9.** A computer-readable medium storing computer-executable  
12 instructions that, when executed on a computer, performs the method of claim 1.

13

14      **10.** A method of enforcing policy in a multi-computer service  
15 application having a plurality of software modules that execute on a plurality of  
16 computers, the multi-computer service application further having access to a  
17 communications medium that allows data communications between different ones  
18 of the computers, wherein the modules have logical input and output ports and  
19 logical data connections between modules, each logical port being defined by port  
20 software, the method comprising:

21            sending a notification from a particular module to a policy module;

22            the policy module responding to the notification by:

23               determining a request for one or more destination modules;

24               providing the request to an output port of the policy module;

1           the output port forwarding the request to input ports of a plurality of the  
2 modules in accordance with the logical data connections.

3

4       **11.** A method as recited in claim 10, wherein a particular output port is  
5 configurable during run-time to specify different logical data connections.

6

7       **12.** A method as recited in claim 10, wherein a particular output port is  
8 configurable during instantiation to specify different logical data connections.

9

10      **13.** A method as recited in claim 10, wherein the logical model of the  
11 multi-computer service application comprises model components, wherein each  
12 model component represents an abstract functional operation of the multi-  
13 computer service application, the model components comprising hardware and  
14 software modules.

15

16      **14.** A method as recited in claim 13, wherein the model components  
17 have an associated blueprint that specifies the hardware and software modules  
18 represented by the model components

19

20      **15.** A method as recited in claim 10, wherein the method further  
21 comprises:

22           monitoring, by the policy module, operation of the multi-service computer  
23 application during runtime; and

24           evaluating, by the policy module, the monitored operations against a policy.

1       **16.** A method as recited in claim 15:

2           wherein the evaluating the policy module determines a number of instances  
3 of each module used to implement the multi-computer service at any given time  
4 based on the policy; and

5           the method further comprising:

6                  responding, by the policy module, to changes in operation conditions  
7 by automatically specifying an action selected from a group of actions consisting  
8 of deploying a new resource represented by a model component in the logical  
9 model, manipulating a module in multi-service computer application by sending  
10 events to the module, and removing a module from the multi-service computer  
11 application.

12

13       **17.** A method as recited in claim 16, wherein the deploying comprises  
14 creating a physical instance of the model component, the logical input and output  
15 ports on the newly deployed resource being configured in accordance with logical  
16 connections specified in the logical model.

17

18       **18.** A computer-readable medium storing computer-executable  
19 instructions that, when executed on a computer, performs the method of claim 10.

20

21       **19.** A method comprising:

22           forming a scale-independent logical model of an application to be  
23 implemented by a distributed computer system, the model having multiple  
24 components representing logical functions of the application and  
25 intercommunication protocols;

1       converting the model components into one or more instances representative  
2 of physical resources that are used to implement the logical functions, the  
3 instances specifying communication ports on the physical resources and  
4 communication paths that link the physical resources; and

5       managing operation of the application by receiving notifications from  
6 certain instances on first communication ports and routing responses to the  
7 notifications to other instances on second communication ports.

8

9       **20.** A method as recited in claim 19, wherein the communication ports  
10 are configurable during run-time to specify different logical data connections.

11

12       **21.** A method as recited in claim 19, wherein the communication ports  
13 are configurable during instantiation to specify different logical data connections.

14

15       **22.** A method as recited in claim 19, wherein managing further  
16 comprises:

17           monitoring operation of the application during runtime; and  
18           evaluating the monitored operations against a policy.

1           **23.** A system to enforce a policy in a multi-computer service application  
2 having a plurality of software modules that execute on a plurality of computers,  
3 the multi-computer service application further having access to a communications  
4 medium that allows data communications between different ones of the  
5 computers, the system comprising:

6           a logical model of the multi-computer service application, the logical model  
7 having model components representing logical functions of the application;

8           a core runtime converter to create one or more module instances of the  
9 model components to implement logical functions represented by the model  
10 components, one of the module instances being a policy module, logical output  
11 ports and logical input ports on different modules being configured in accordance  
12 with the logical model, wherein each logical input and output port is defined by  
13 port software, logical data connections being configured between the logical  
14 output and input ports in accordance with the logical model, each port being  
15 configured to communicate through different numbers of logical data connections  
16 without modifying the port software; and

17           wherein, the policy module is configured to receive event notifications  
18 from a module instance, and in response to receiving an event notification, the  
19 policy module being further configured to:

20           (a) determine a request for one or more destination modules; and

21           (b) provide the request to an output port of the policy module, the  
22 output port being configured to forward the request to input ports of a plurality of  
23 the modules in accordance with the configured logical data connections.

1       **24.** A system as recited in claim 23, wherein a particular output port is  
2 configurable during run-time to specify different logical data connections, wherein  
3 the output port forwards the request to modules and input ports in accordance with  
4 the logical connections specified for said particular output port..

5

6       **25.** A system as recited in claim 23, wherein a particular output port is  
7 configurable during instantiation to specify different logical data connections,  
8 wherein the output port forwards the request to a plurality of modules and input  
9 ports in accordance with the logical connections specified for said particular  
10 output port.

11

12      **26.** A system as recited in claim 23, wherein the model components  
13 have an associated schema that specifies hardware and software modules  
14 represented by the model components.

15

16      **27.** A system as recited in claim 23, wherein the policy module is  
17 further configured to perform actions comprising:

18           monitoring operation of the multi-service computer application during  
19 runtime; and  
20           evaluating the monitored operations against a policy.

1       **28.** A system as recited in claim 23, wherein to evaluate the monitored  
2 operations, the policy module determines a number of instances of each module  
3 used to implement the multi-computer service at any given time based on the  
4 policy; and

5              the policy module is further configured to:

6                  respond to changes in operation conditions by automatically  
7 specifying an action selected from a group of actions consisting of deploying a  
8 new resource represented by a model component in the logical model,  
9 manipulating a module in multi-service computer application by sending events to  
10 the module, and removing a module from the multi-service computer application.

11  
12       **29.** A system as recited in claim 28, wherein the policy module deploys  
13 the new resource by creating a physical instance of the model component, the  
14 logical input and output ports on the newly deployed resource being configured in  
15 accordance with logical connections specified in the logical model.

1      **ABSTRACT**

2      A system and procedure to automatically enforce policy in distributed  
3      multi-computer service applications. Such service applications include multiple  
4      software modules that execute on multiple computers. The computers have access  
5      to communications media that allow data communications between the computers.  
6      Logical ports are configured on different modules according to a logical model of  
7      the multi-computer service application. Each logical port is defined by port  
8      software. Logical data connections between the logical ports are configured in  
9      accordance with the logical model. Each port is configured to communicate  
10     through different numbers of logical data connections without modifying the port  
11     software.

12     In response to the occurrence of a condition, a module sends an event  
13     notification to a policy module. The policy module responds to the notification by  
14     formulating a request for one or more destination modules. The policy module  
15     provides the request to an output port of the policy module. The output port  
16     forwards the request to input ports of multiple modules according to the  
17     configured logical data connections.

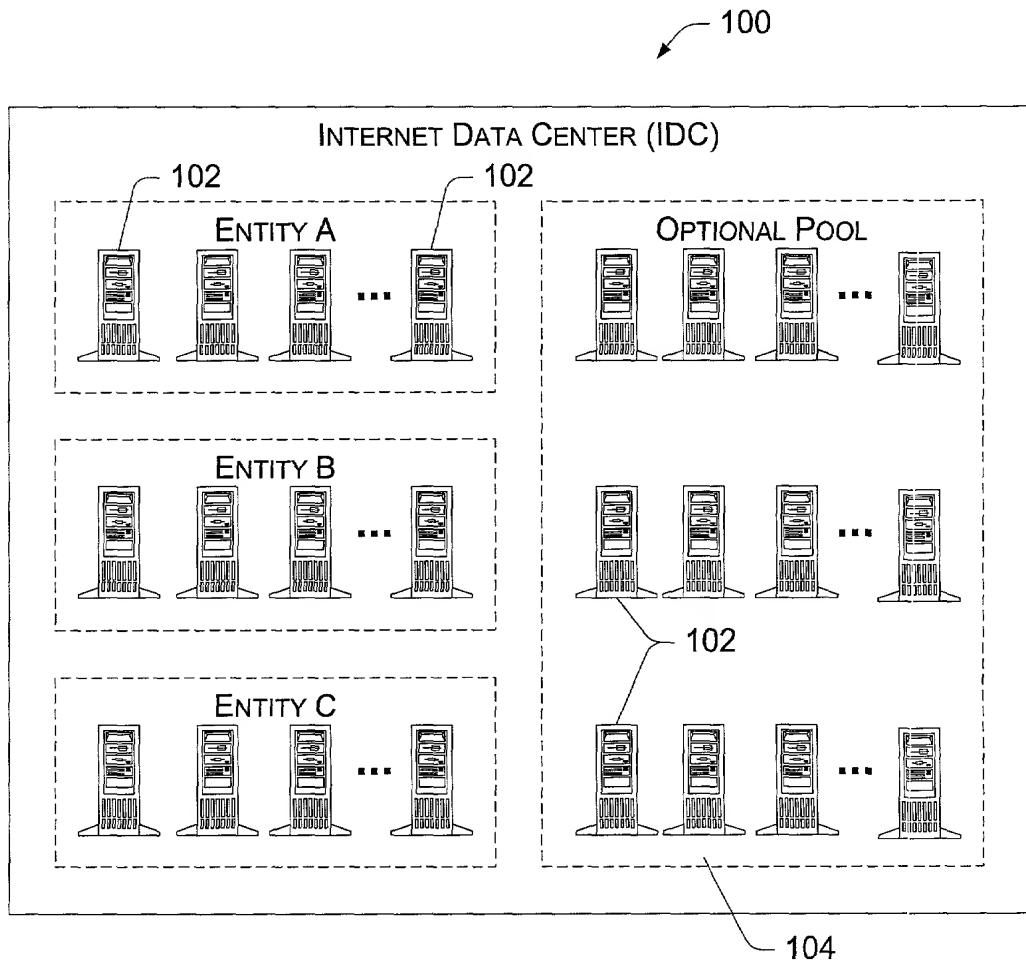


Fig. 1  
Prior Art

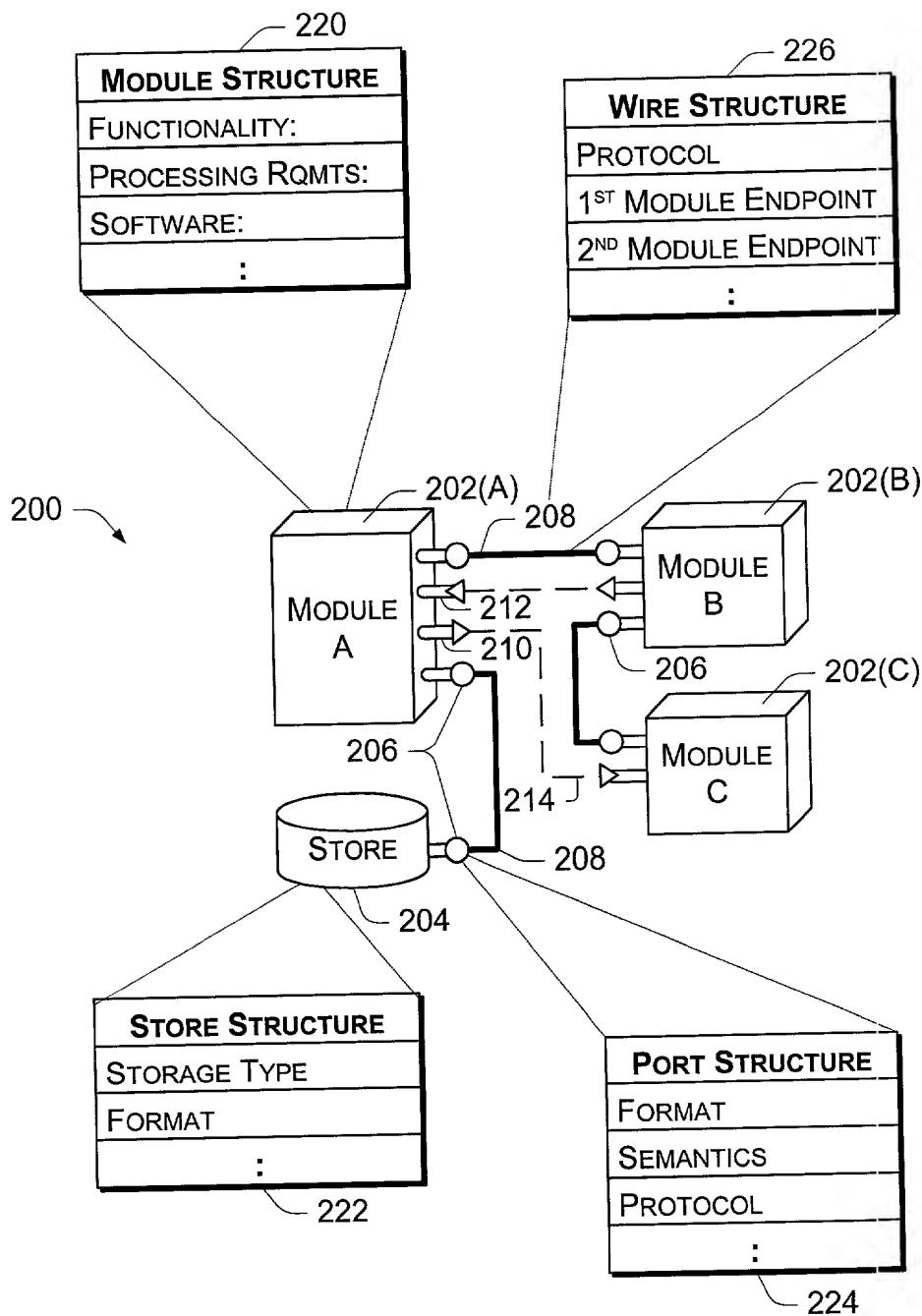


Fig. 2

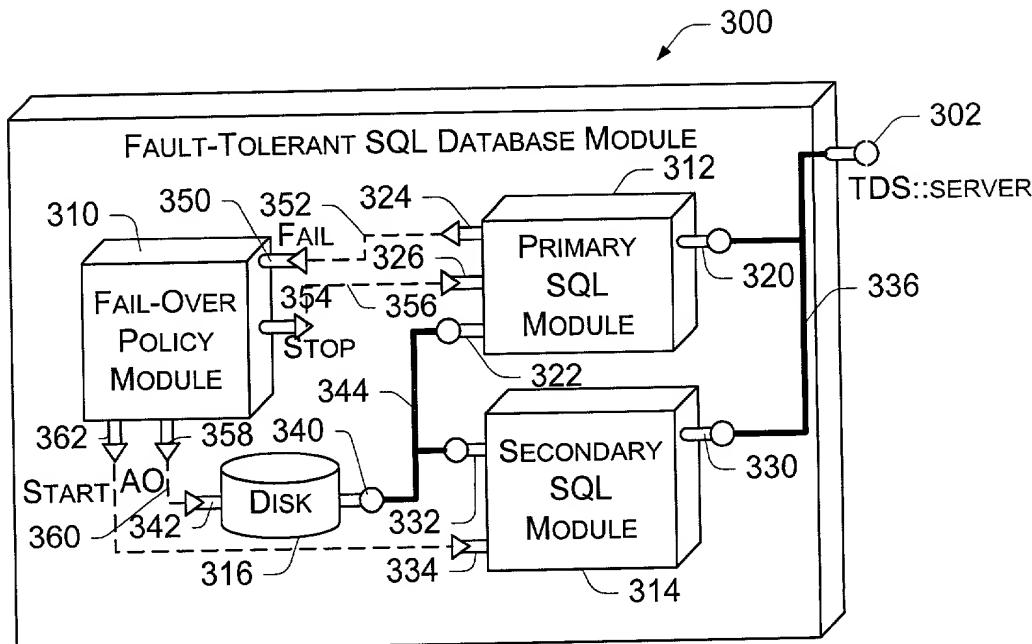


Fig. 3

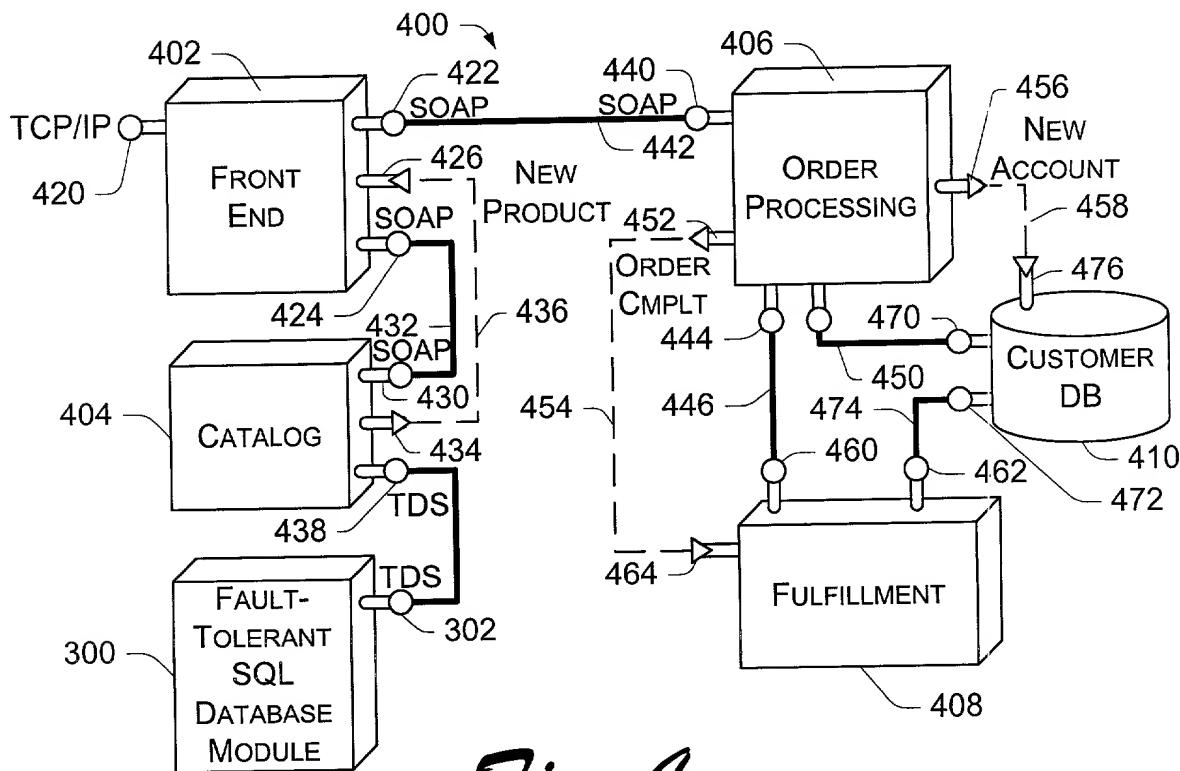
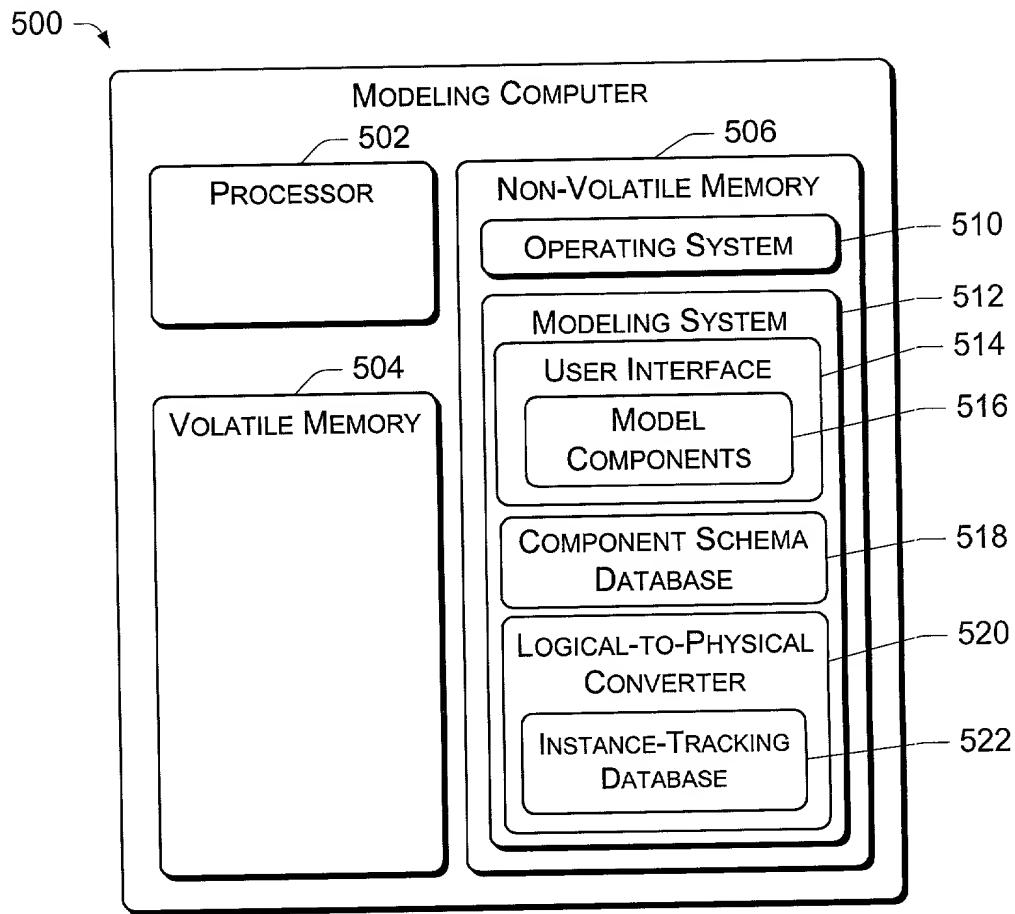


Fig. 4



*Fig. 5*

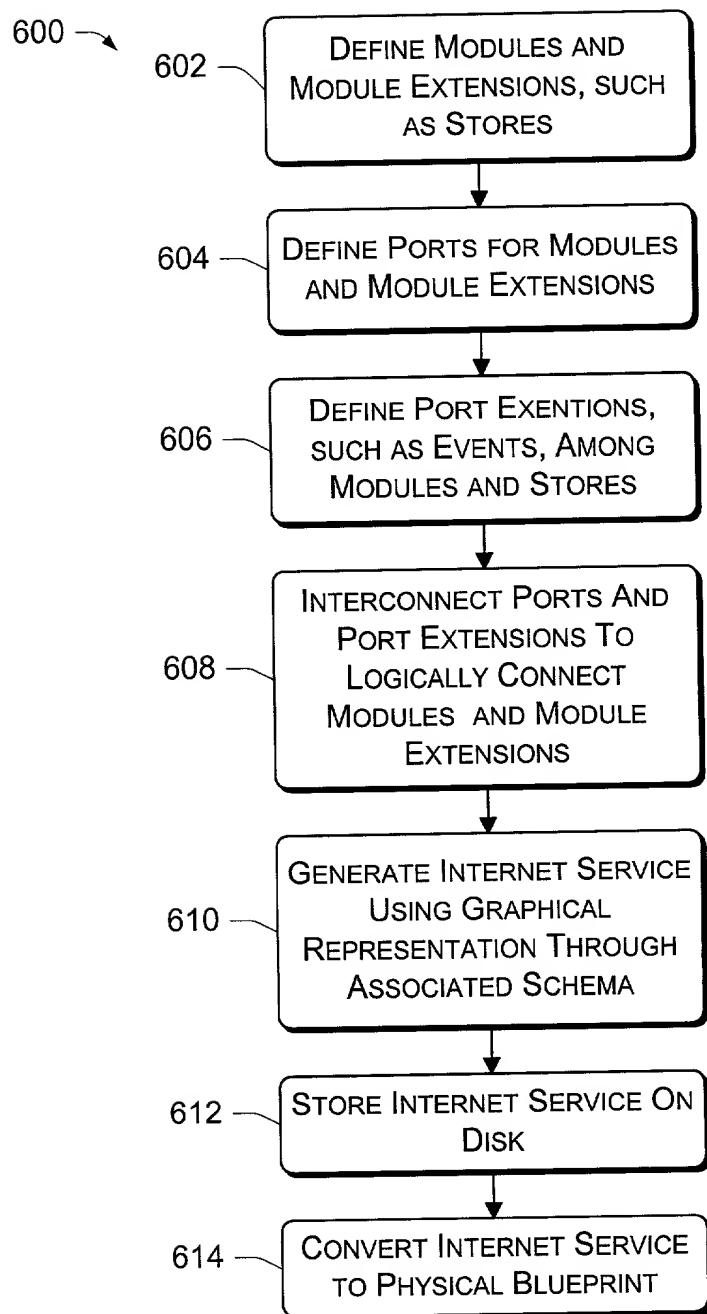


Fig. 6

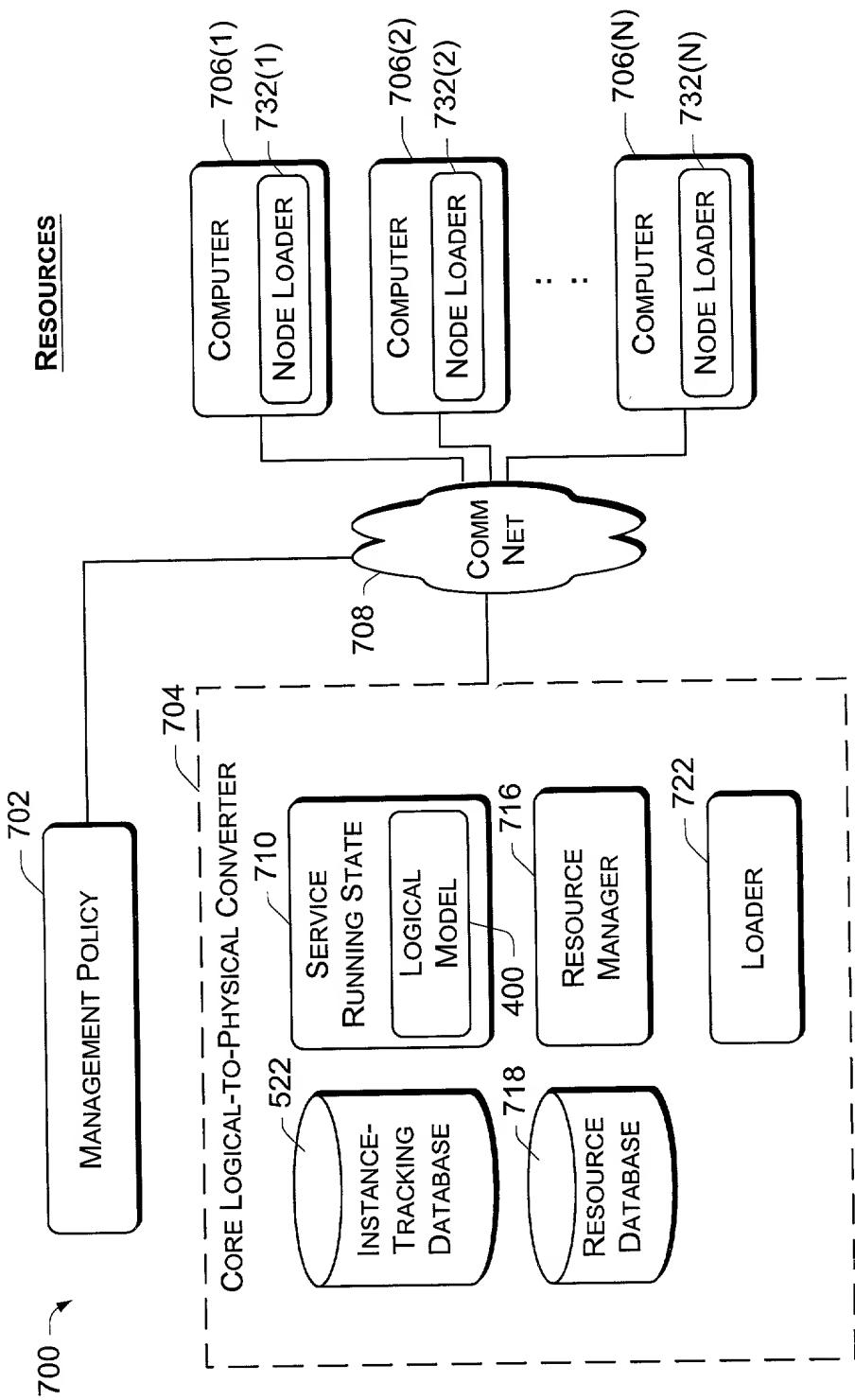
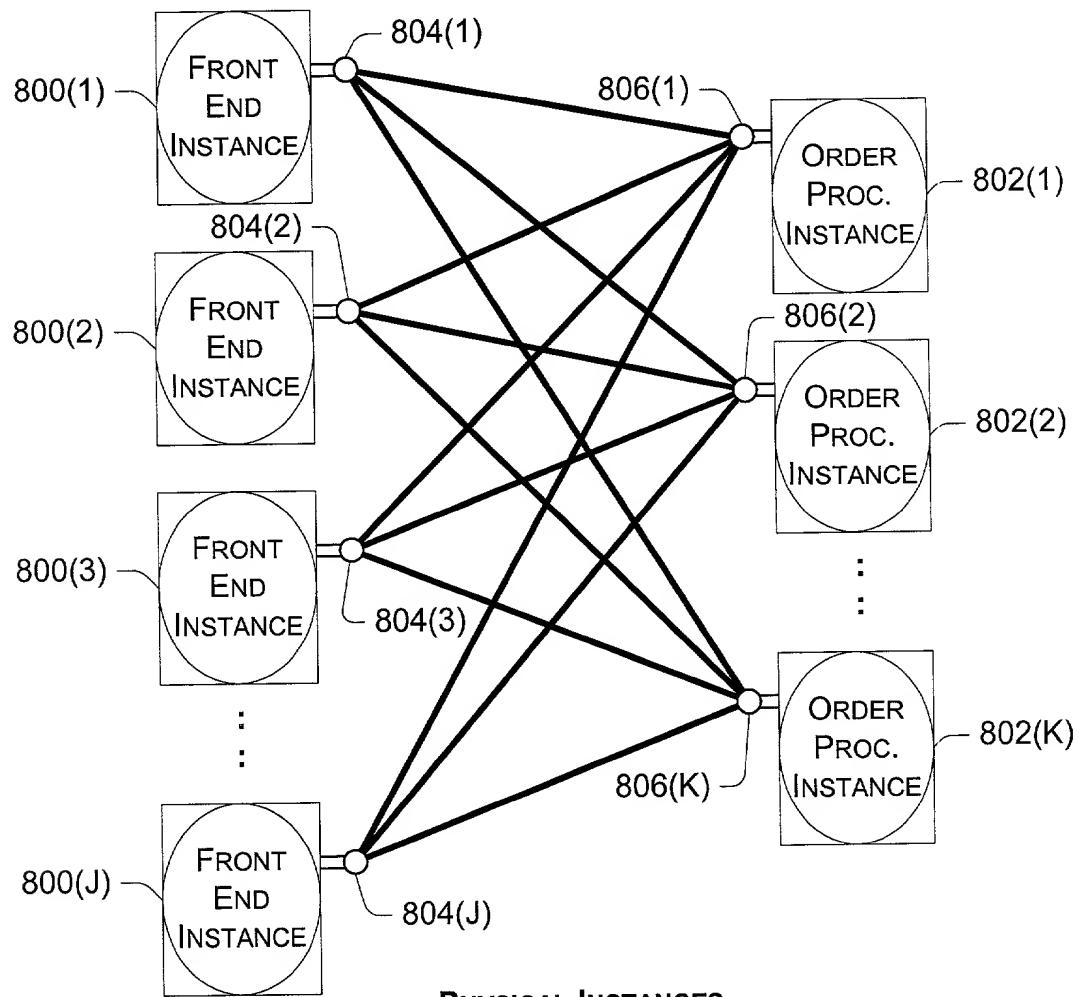
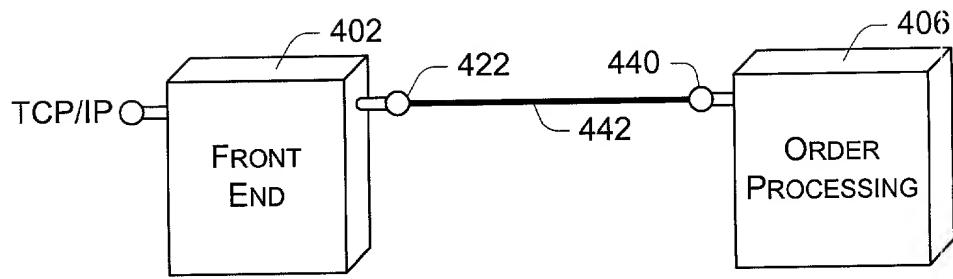


Fig. 2

LOGICAL MODELPHYSICAL INSTANCES

*Fig. 8*

900 →

**MODULE TABLE**

INSTANCE ID	MODEL COMPONENT	NODE ID	S/W TYPE	S/W ID	ID OF PORT(S)	PROTOCOL
A	FRONT END	123	FE, VER. 3.1	K123	A1, A2, A3	HTTP, TCP
B	FRONT END	332	FE, VER. 3.1	K124	B1, B2, B3	HTTP, TCP
:	:	:	:	:	:	:
ZA	ORDER PROC.	14	OP, VER. 1.4	3B58	ZA1, ZA2	HTTP
ZB	ORDER PROC.	854	OP, VER. 1.4	3B59	ZB1, ZB2	HTTP

902 →

**PORT TABLE**

PORT ID	MODEL COMPONENT	NODE ID	NETWORK ADDRESS	INSTANCE ID	PROTOCOL	WIRE ID
A1	FE PORT	123	PORT 80	A	HTTP	W115
:	:	:	:	:	:	:

904 →

**WIRE TABLE**

WIRE ID	MODEL COMPONENT	NODE ID	PORT ID	INSTANCE ID	PROTOCOL
W115	FE-TO-OP WIRE	123	A2	A	SOAP
:	:	:	:	:	:

906 →

*Jig. 9*

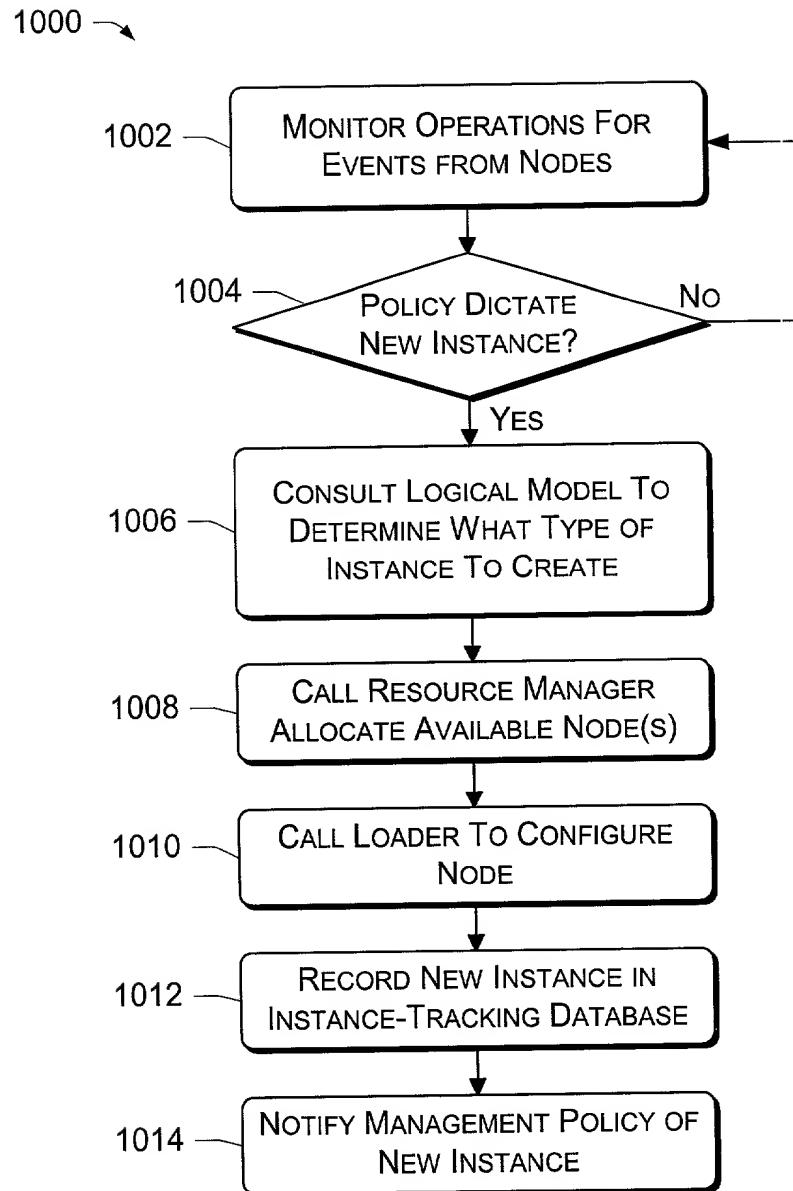
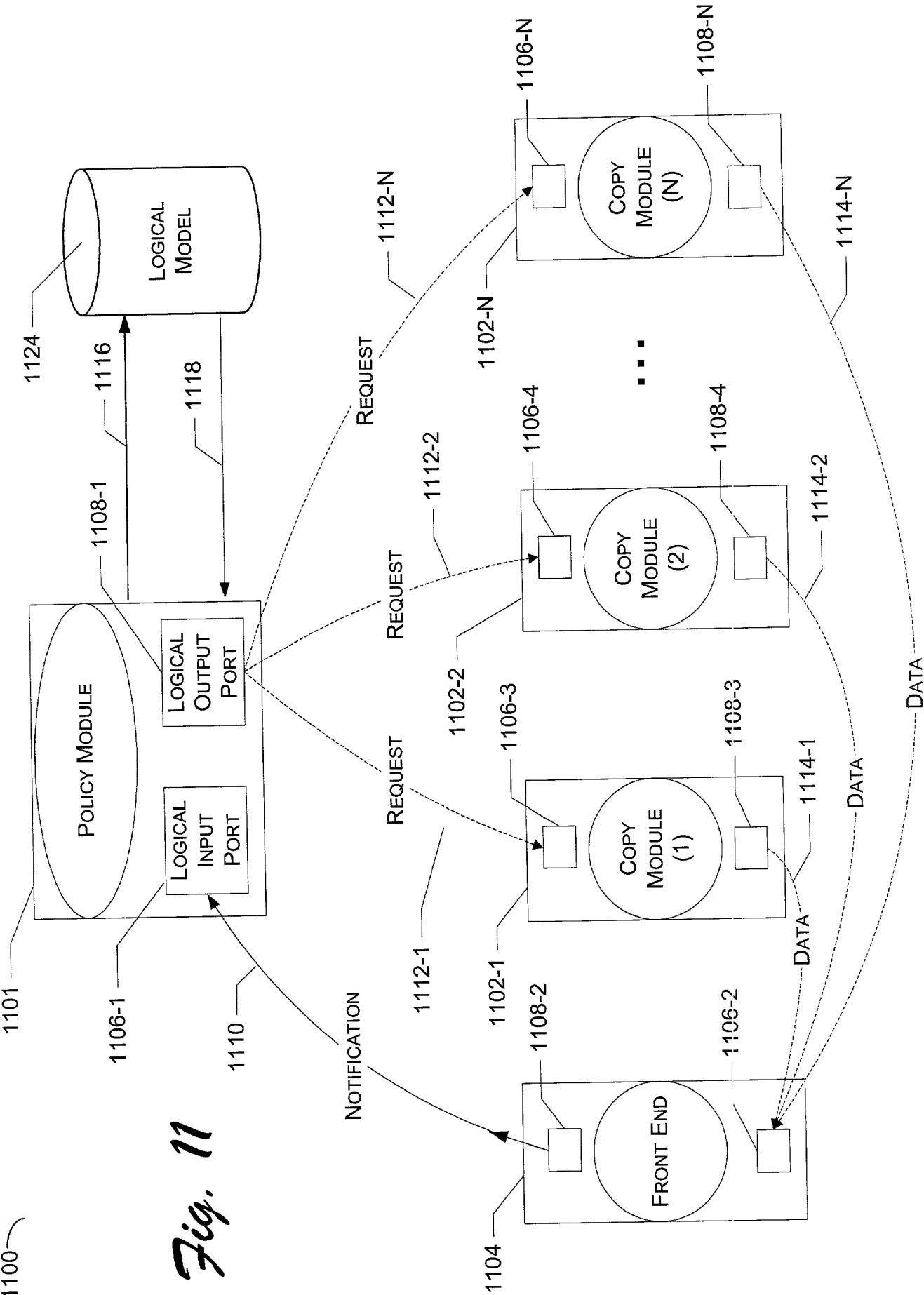


Fig. 10



*Fig. 11*

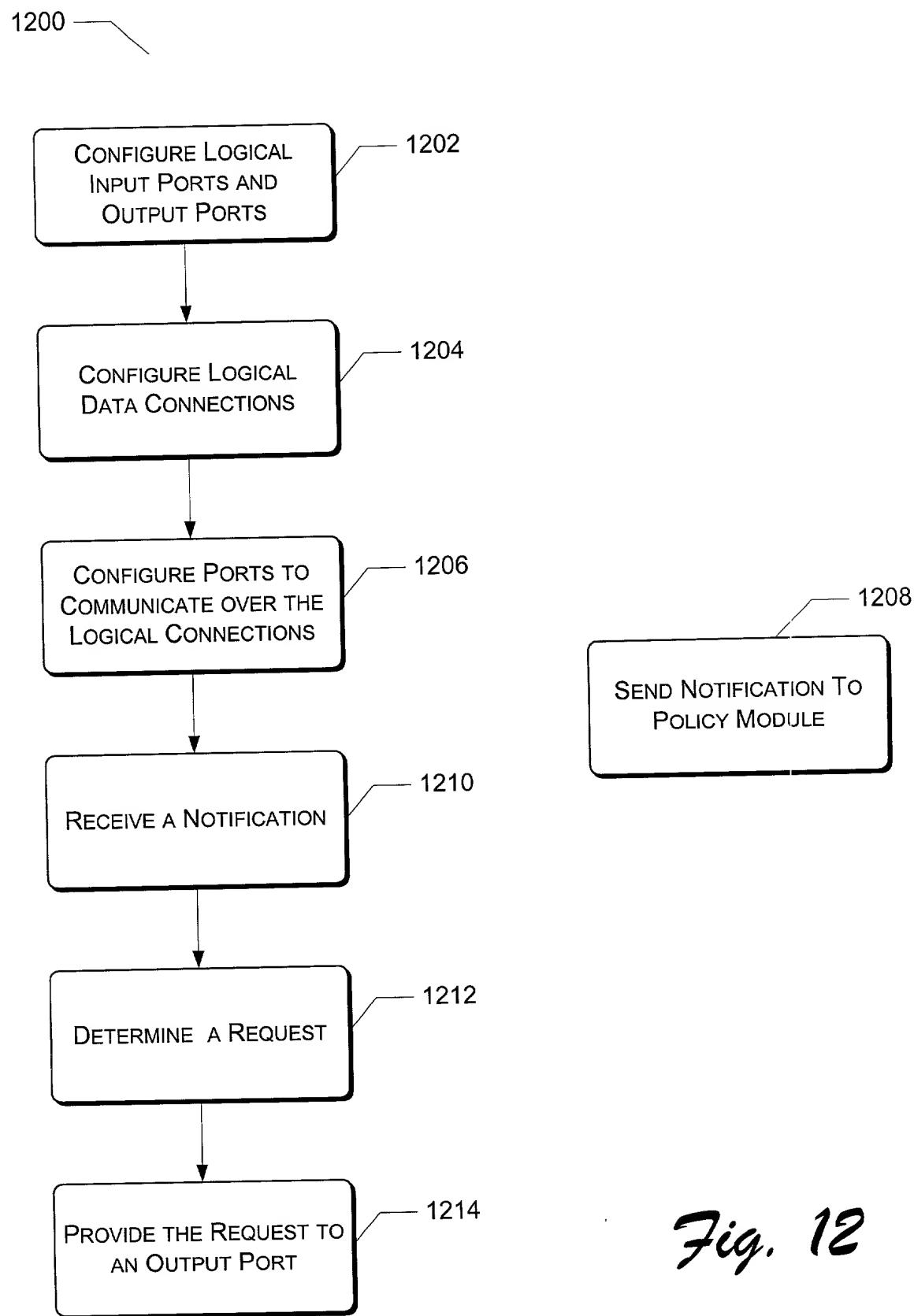


Fig. 12